



**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP FINANCIAL PRODUCTS, INC.  
TRANSFEROR: EMPYREAN INVESTMENTS, LLC  
ATTN: BRIAN BROYLES  
1615 BRETT ROAD, BLDG 3  
NEW CASTLE DE 19720

CITIGROUP FINANCIAL PRODUCTS, INC.  
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: ELANIT A. SNOW  
1285 AVENUE OF THE AMERICAS  
NEW YORK NY 10019

Please note that your claim # 67346-12 in the above referenced case and in the amount of  
\$25,000,000.00 allowed at \$25,000,000.00 has been transferred (unless previously expunged by court order)

VARDE INVESTMENT PARTNERS, LP  
TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC.  
ATTN: EDWINA PJ STEFFER  
8500 NORMANDALE LAKE BLVD., STE 1500  
MINNEAPOLIS MN 55437

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 40645 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/24/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 24, 2013.

**EXHIBIT B**

TIME: 18:07:32  
DATE: 10/24/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BANK HAPOALIM (SWITZERLAND), LTD.	ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER STOCKERSTRASSE 33 ZURICH CH-8002 SWITZERLAND
BANK HAPOALIM (SWITZERLAND), LTD.	PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019
BBVA (SUIZA) S.A.	BANCO BILBAO VIZCAYA ARGENTARIAS, S.A. ATTN: ANA HIDALGO/ELENA PRIETO PASEO DE LA CASELLANA, 81 - FLOOR 21 MADRID 28046 SPAIN
BBVA (SUIZA) S.A.	CLEARY GOTTlieb STEEN & HAMILTON LLP ATTN: ANDREW A. BERNSTEIN, ESQ. 12, RU DE TILSITT PARIS 75008 FRANCE
BBVA (SUIZA) S.A.	ATTN: INIGO BERSALUCE / DIRECTOR ZELTWEG 63 ZURICH CH-8021 SWITZERLAND
BBVA (SUIZA) S.A.	CLEARY GOTTlieb STEEN & HAMILTON LLP ATTN: SETH GROSSHANDLER, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006
BLACKSTONE ALTERNATIVE MULTI-MANAGER SUB FUND IV L.L.C.	TRANSFEROR: DEUTSCHE BANK AG ATTN: SUSAN LANCASTER 767 FIFTH AVENUE, 45TH FLOOR NEW YORK NY 10153
CITIGROUP FINANCIAL PRODUCTS, INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT A. SNOW 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019
CITIGROUP FINANCIAL PRODUCTS, INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT A. SNOW 5 AVENUE OF THE AMERICAS NEW YORK NY 10019
CITIGROUP FINANCIAL PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC ATTN: BRIAN BOYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720
CREDIT SUISSE LOAN FUNDING LLC	TRANSFEROR: STRATEGIC VALUE MASTER FUND, LTD. ATTN: DOUGLAS DIBELLA 11 MADISON AVENUE, 5TH FLOOR NEW YORK NY 10010
DEUTSCHE BANK AG	ATTN: CHIP GOODRICH, ESQ. 60 WALL STREET NEW YORK NY 10005-2858
DEUTSCHE BANK AG	BINGHAM MCCUTCHEN LLP ATTN: RANAN WEILL, ESQ. 2020 K STREET, NW WASHINGTON DC 20006
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER ST LONDON EC2N 2DB UNITED KINGDOM
EQUITY TRUST COMPANY CUSTODIAN	TRANSFEROR: GESCAFONDO FI FBO VICTOR KNOX IRA, 50% UNDIVIDED INTEREST AND FREDRIC GLASS IRA, 50 % UNDIVIDED INTEREST C/O FAIR HARBOR CAPITAL, LLC PO BOX 237037 NEW YORK NY 10023
EQUITY TRUST COMPANY CUSTODIAN	TRANSFEROR: INTERCONTINENTAL DE CARTERA SICAV FBO VICTOR KNOX IRA, 50% UNDIVIDED INTEREST AND FREDRIC GLASS IRA, 50% UNDIVIDED INTEREST C/O FAIR HARBOR CAPITAL, LLC PO BOX 237037 NEW YORK NY 10023
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GESCAFONDO FI	N. LYNN HIESTAND SKADDEN, ARPS, SLATE, MEAGHER & FLOM (UK) LLP 40 BANK STREET CANARY WHARF LONDON E14 5DS UNITED KINGDOM
HBK MASTER FUND LP	TRANSFEROR: ILLIQUIDX LLP C/O HBK SERVICES LLC 2101 CEDAR SPRINGS ROAD, SUITE 700 DALLAS TX 75201
ILLIQUIDX LLP	TRANSFEROR: STITCHING THE IAMEX VALUE FOUNDATION ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
INTERCONTINENTAL DE CARTERA SICAV	ALBERTO DEL RIEGO ORTA SERRANO 88 5 PLANTA MADRID 28006 SPAIN
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MERRILL LYNCH INTERNATIONAL	TRANSFEROR: MERRILL, LYNCH, PIERCE, FENNER & SMITH INCORPORATED ATTN: GINA GRASSO 2 KING EDWARD STREET, LONDON EC1A 1HQ UNITED KINGDOM
MERRILL, LYNCH, PIERCE, FENNER & SMITH INCORPORATED	TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: GREGORY W. PONDER 214 NORTH TRYON STREET, 15TH FLOOR CHARLOTTE NC 28255
MORGAN STANLEY & CO. INTERNATIONAL PLC	MANAGING CLERK RICHARDS KIBBE & ORBE L.L.P. ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003
MORGAN STANLEY & CO. INTERNATIONAL PLC	TRANSFEROR: BBVA (SUIZA) S.A. 25, CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: SOLUS CORE OPPORTUNITIES LP ATTN: CHARUTI PATEL 2 WORLD FINANCIAL CENTER, BUILDING B NEW YORK NY 10281
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: SOLUS CORE OPPORTUNITIES LP ATTN: CHARUTI PATEL 2 WORLD FINANCIAL CENTER, BUILDING B NEW YORK NY 10281-1198
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: SOLUS RECOVERY FUND LP ATTN: CHARUTI PATEL 2 WORLD FINANCIAL CENTER, BUILDING B NEW YORK NY 10281
NOMURA CORPORATE FUNDING AMERICAS, LLC	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP ATTN: CHARUTI PATEL 2 WORLD FINANCIAL CENTER, BUILDING B NEW YORK NY 10281
PICITET & CIE	TRANSFEROR: SANTANDER PRIVATE BANKING SPA ATTN: LEGAL DEPARTMENT ROUTE DES ACACIAS 50 GENEVA 73 1211 SWITZERLAND
SANTANDER PRIVATE BANKING SPA	F/K/A MELIOREBANCA PRIVATE SPA ATTN: SERENA ROVERI VIA SENATO 28 MILANO 20121 ITALY
SOCIETE GENERALE PRIVATE BANKING	TRANSFEROR: VP BANK (LUXEMBOURG) S.A. KORTRIJKSESTENWEG 302 GENT B-9000 BELGIUM
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: SOLUS CORE OPPORTUNITIES MASTER FUND LTD C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: COMPLIANCE DEPT. 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND OFFSHORE MASTER LP	410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
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STRATEGIC VALUE MASTER FUND, LTD.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: HSBC SECURITIES JAPAN LIMITED C/O STRATEGIC VALUE PARTNERS, LLC 100 WEST PUTNAM AVENUE GREENWICH CT 06830
VP BANK (LUXEMBOURG) S.A.	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD., STE 1500 MINNEAPOLIS MN 55437
	26, AVENUE DE LA LIBERTE LUXEMBOURG L-1930 LUXEMBOURG